



Solano County Auditor-Controller's Whistleblower Program Status Update June 30, 2014

Significant Issues Update

The Auditor-Controller's Internal Audit Division operates the County's Whistleblower Program. The Program includes a special hotline number (866) 384-TIPS and a website for submission of reports. The reports received were reviewed by the Internal Audit Division in cooperation with Appointed and Elected Department Heads and the County Administrator as deemed appropriate.

From January 1, 2014 through June 30, 2014, the Whistleblower Program received 32 reports of perceived incidence of fraud, waste and abuse, or violations of County policy or law. In addition, an open case from our last report was closed, bringing the caseload to 33.

Reports were received in the following categories:

- violations of Law/County policy (10)
- welfare fraud (5)
- timesheet fraud (4)
- management conduct (2)
- waste of County funds (3)
- environmental nuisance (2)
- conflict of interest (1)
- other (6)

Of the 33 cases:

- Twenty-one were found to not have merit.
- Six were referred to outside agencies and were closed under the Whistleblower Program without a determination of merit or no merit.
- Three remain open with investigation in progress.
- Three were substantiated and found to have merit.

Details about the three cases found to have merit follow:

<i>Complaint Allegation</i>	<i>Resolution</i>
A superstore contacted a Solano County resident and offered her \$8,000 in grant money for loading a store debit card with \$300.	Research indicated this was a debit card scam. We referred the information to the Sheriff's Office.

<p>A County employee was wasting county resources due to excessive personal phone calls.</p>	<p>We discussed the complaint with the employee's supervisor and manager. According to the manager, the employee acknowledged personal phone calls and the manager reminded the employee personal phone calls should be kept at a minimum.</p>
<p>A County employee was misreporting time worked and was also working from home.</p>	<p>We discussed the complaint with the department head. The employee was verbally counseled by her manager, and the department head is working with human resources on a resolution.</p>

The Whistleblower Program continues to demonstrate success in the identification of County-related matters in potential fraud, waste and abuse. The Program continues to improve controls, and promotes accountability and oversight throughout the County by providing a process for employees and other County citizens to report perceived incidence of fraud, waste and abuse.

For questions, contact Katie Tully at (707) 784-3057.