Fair Political Practices Commission Filing Schedule for

Candidates for Local Office

Committees Primarily Formed to Support/Oppose Local Candidates Committees Primarily Formed to Support/Oppose Local Measures Being Voted on November 5, 2013

Deadline	Period	Form	Notes
Apr 30, 2013 Quarterly	1/1/13 – 3/31/13	<u>460</u>	Only ballot measure committees must file this report.
Jul 31, 2013 Semi-Annual	thru – 6/30/13	<u>460</u> <u>470</u>	 All committees must file Form 460. Incumbents and candidates who filed candidacy papers on or before June 30, and who do not have open committees must file Form 470 (see pg. 2).
Within 24 Hours Contribution/ Independent Expenditure Reports	8/7/13 – 11/4/13	462 & 496 497	 462: Primarily formed committees making independent expenditures ("IEs") must file this form with the FPPC. (File Form 462 within 10 days.) 496: File if independent expenditures of \$1,000 or more are made. Candidates and primarily formed ballot measure committees: Do not file for expenditures made on your own committee's behalf. 497: File if a contribution of \$1,000 or more is received. 497: File if a contribution of \$1,000 or more is made to another candidate or another measure being voted upon November 5, 2013. Deadlines: File Forms 496/497 within 24 hours (see note on page 2). The recipient of a non-monetary contribution of \$1,000 or more must file a Form 497 report within 48 hours from the time the contribution is received. File personal delivery, guaranteed overnight service, or fax.
Sep 26, 2013 Pre-Election	7/1/13 – 9/21/13	<u>460</u> <u>470</u>	 Ballot measure committees must file Form 460. Each candidate listed on the ballot must file Form 460 or Form 470 (see pg. 2). If a Form 470 was filed on or before July 31, 2013, another Form 470 is not required.
Oct 24, 2013 Pre-Election	9/22/13 – 10/19/13	<u>460</u>	 All committees must file Form 460. File by personal delivery or guaranteed overnight service only.
Jan 31, 2014 Semi-Annual	10/20/13 – 12/31/13	<u>460</u>	 Candidate and ballot measure committees must file Form 460 unless the committee filed termination Forms 410 and 460 before December 31, 2013.

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Additional Election Reports

Depending on committee activity, one or all of the following reports may also be required:

- 460 Ballot Measure Quarterly Report: Prior to the semi-annual period in which the measure(s) supported or opposed is being voted upon, primarily formed ballot measure committees must file quarterly campaign statements in addition to semi-annual statements. Following the election, quarterly statements may also be required.
- 465 Supplemental Independent Expenditure Report: Committees that make independent expenditures of \$1,000 or more to another candidate or measure file this report. Candidates see prohibition below.
- <u>511</u> Paid Spokesperson Report: File within 10 days of making an expenditure totaling \$5,000 or more to an individual to appear in an advertisement to support or oppose a ballot measure.
- Period Covered: The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1, if no previous statement has been filed.
- Filing Deadlines: Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to 24-hour independent expenditure reports (Form 496) or to those 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week. Statements filed after the deadline are subject to a \$10 per day late fine.
- Method of Delivery: All paper filings are filed by personal delivery or first class mail unless otherwise noted.
- Candidate Form 460 or 470: Use Form 470-if less than \$1,000 is raised/spent in calendar years 2012 or 2013. Use Form 460 if \$1,000 or more is raised/spent in 2013 and also file Form 410. Statement of Organization. Note: All candidates must file Form 501 before soliciting contributions.
- Form 470: Candidates who do not have a committee and do not raise/spend \$1,000 in 2013 may file Form 470 once a year on or before September 26, 2013. If, later during the calendar year, a campaign committee must be opened, a Form 470 Supplement must be filed.
- Candidates: After an election, reporting requirements will depend on whether the candidate is successful and whether a campaign committee is open.
- Prohibition on Candidate Independent Expenditures: A candidate controlled committee may not make independent expenditures to support or oppose candidates and may not contribute to another committee for the purpose of making independent expenditures to support or oppose other candidates.
- Local Ordinance: Always check if additional local rules apply.
- Public Documents: All forms are public documents. Campaign manuals and instructional materials available at www.fppc.ca.gov, click on the Campaign link.
- Effective January 1, 2013, the following legislation took effect. Check the FPPC website for updates:
 - 24-hour contribution/independent expenditure reports must be filed during the 90 day period before an election. Form 462 affirming the independent nature of the expenditure is required. (AB 481)
 - Local agencies may require electronic filings. (AB 2452)
 - All committees required to file Form 410 must pay a \$50 annual fee to the Secretary of State to fund improvements in the electronic filing system.
 (SB 1001)